# **SLO beyond the Hardware Isolation Limits**

#### Abstract

Performance isolation is a keystone for SLO guarantees with shared resources in cloud and datacenter environments. To meet SLO requirements, the state of the art relies on hardware QoS support (e.g., Intel RDT) to allocate shared resources such as last-level caches and memory bandwidth for co-located latency-critical applications. As a result, the number of latency-critical applications that can be deployed on a physical machine is bounded by the hardware allocation capability. Unfortunately, such hardware capability is very limited-even the latest Intel Xeon E5 v3 processors support at most four partitions for last-level caches, i.e., at most four applications can have dedicated resource allocation. This paper discusses the feasibility and unexplored challenges of providing SLO guarantees beyond the limits of hardware capability. We present CoCo to show the feasibility and the benefits. CoCo schedules applications to time-share interferencefree partitions as a transparent software layer. Our evaluation shows that CoCo outperforms non-partitioned and the round-robin approaches by up to  $9 \times$  and  $1.2 \times$ .

## 1 Introduction

Service-level objectives (SLOs) guarantee in shared environments such as cloud and datacenters is predominantly done by resource isolation [6, 9, 11, 12, 22–24, 31, 37]. Without resource isolation, the performance of an application can be interfered with and impaired by co-located applications that compete for shared resources such as CPU, last-level caches, and memory bandwidth. In shared cloud and datacenter environments, such interference is inevitable and hard to mitigate, leading to unpredictable performance [3, 6, 13, 21, 23, 24, 38].

To provide performance isolation, hardware QoS support [1,8] and OS kernel extensions are developed. The key idea is to reserve and allocate dedicated resources for applications with strict SLO requirements, i.e., latencycritical (LC) applications. For example, Intel RDT (Resource Director Technologies) provides cores with finegrained isolation support for last-level caches [9] and memory bandwidth [14]. Control groups (cgroups) [4,5] can be used to leverage the hardware support by assigning tasks to cores, and to limit the I/O bandwidth and memory capacity that are available to a group of tasks. The state-of-the-art resource management solutions [6, 11, 12, 22–24, 37] all rely on those supports to meet SLO requirements by reserving dedicated resources for LC applications; meanwhile, the unreserved resources could be shared among best-effort applications (e.g., batch jobs) to improve resource utilization.

Currently, the number of LC applications that can be deployed on a physical machine is bounded by the hardware allocation capability. For example, PARTIES [6], the stateof-the-art resource partitioning technique, cannot support more applications than the number of hardware partitions (defined as CLOSs, Classes of Services, in Intel RDT). Unfortunately, the hardware allocation capability is very limited—even the latest Intel Xeon E5 v3 family processors only support four CLOSs [25]. That means that at most four LC applications can be deployed no matter the number of CPU cores or the memory size.

The fact that resource isolation is bounded by the limited hardware allocation capability hinders improvements of resource utilization. For instance, four LC applications are consolidated on a physical machine with a 4-CLOS processor. Each application is assigned 10% of the lastlevel cache (in total 40%) to guarantee its SLO. The wasted 60% of the cache capacity could otherwise be utilized to support more LC applications. The problem becomes emergent, considering recent trends of microservices and serverless computing which encourages "micro" applications with stringent SLO requirements [7,28,36]. Certainly, a fundamental solution to this problem is to redesign hardware QoS support to expose as many CLOSs as possible. However, deploying new hardware takes time, let alone the fundamental challenges in scaling cache associativity (discussed in §6). We believe that the discrepancy between the hardware QoS support and the scale of CPU cores will continue to exist if not become worse.

This paper investigates the feasibility of providing SLO guarantees beyond the limits of hardware allocation capability, and discusses the related issues and limitations (see §3.4). Our aim is to support SLO guarantees for more applications than the number of CLOSs provided by the hardware and to exploit unused hardware resources. We believe that providing SLO guarantees beyond the hardware isolation limits is significant—instead of passively waiting for hardware innovations, we seek for software-only solutions to efficiently utilize the CLOSs available on the physical machine.

Our key insight is to treat CLOS-based partitions as scarce resources and enable *time-sharing* among colocated applications without breaking application SLOs. This is in contrast to state-of-the-art approaches (e.g., PARTIES [6]) that statically assign each application to a partition and increase or decrease the amount of resources allocated to that partition so as to guarantee the application SLO. Since each application has different sensitivities with regards to the amount of resources and time slices associated with a partition, the key challenge is to design the time-shareability based on the characteristics of co-located applications, and not to trade off SLOs for improving resource utilization.

We present CoCo, a coordinated container scheduler that transparently allows tens of SLO-oriented LC workloads to share a limited number of partitions of last-level caches and memory bandwidth. CoCo profiles the sensitivity of the target workload regarding resource limits. CoCo configures the CLOS partitions based on the sensitivity characteristics and dynamically schedules workloads to different partitions based on their SLO requirements. The core of CoCo is a novel application-aware time-sharing algorithm that maximizes the overall performance and resource utilization (see §3). We implemented a prototype of CoCo as a user-level runtime system for Linux, which requires no modification to the underlying kernel or colocated applications.<sup>1</sup> Our evaluation shows that CoCo improves the maximum affordable client load without SLO violations by up to  $9 \times$  and  $1.2 \times$ , compared with non-partitioned and the round-robin approach [30]. CoCo is the first step in the direction of providing SLOs beyond the hardware limits but there are still unexplored challenges that need to be addressed (see §3.4).

## 2 Hardware QoS Support

As hardware manufacturers continue to add additional cores onto processors, more workloads can be consolidated together. However, co-located workloads could contend for shared resources, such as last-level caches (LLC) and memory-bandwidth. For LC workloads, the unexpected delay for fetching data from the main memory (due to cache contention and invalidation) can negatively impact performance. Hardware QoS support is recently provided by many hardware manufacturers (e.g, Intel [9, 14], AMD [1], ARM [32], IBM [10]) to support resource isolation. Most existing hardware QoS support is for LLC cache allocation enforcement, LLC cache occupancy monitoring, LLC code-data prioritization, and memory bandwidth enforcement/allocation.

For instance, Intel's CAT [9] and MBA [14] are developed for addressing the shared resource contention for LLC and main memory bandwidth respectively. The goal of CAT/MBA is to enable resource allocation based on partitions or CLOSs. Similar CLOS-based resource partitioning features are also provided by AMD processors [1]. The processor exposes a set of CLOSs into which applications (or individual threads) can be assigned. A given CLOS used for CAT means the same thing as a CLOS used for MBA. The number of CLOSs are typically limited by the hardware. For example, both Intel(R) Xeon(R) E5 v3 family processors and AMD EPYC 7002 series only support at most 4 CLOSs [25].

For CAT, a capacity bit-mask provides a hint to the hardware indicating the cache space an application should be limited to (not necessarily way partitioning). A mask bit set to "1" specifies that a particular CLOS can access the cache subset represented by that bit and vice versa. For MBA, a programmable request rate controller is introduced between the cores and the high-speed interconnect to control memory accesses. It enables indirect control over memory bandwidth for cores over-utilizing bandwidth relative to their CLOS configuration. The memory bandwidth throttling value is of the format of a percentage (with 100% meaning full access) and the limit and granularity of MBA are machine-related.

## 3 CoCo: SLO-aware Partition Sharing

We realize our idea of sharing CLOS partitions in CoCo, a coordinated container scheduler that transparently enables running tens of SLO-oriented LC workloads beyond the hardware isolation limits. CoCo makes interferencefree CLOS partitions shareable in a way that no SLO of co-located workloads would be violated, without overprovisioning. Instead of statically assigning an LC workload to a partition and controlling the amount of resources allocated to the partition [6, 12, 22, 23], CoCo treats each partition as a scarce resource and enables time-sharing the resource among co-located workloads.

CoCo is application-aware. It characterizes the sensitivity of workloads with regard to resource limits through profiling (§3.1). CoCo does this by running the workload along with resource limiters which throttling resource access to simulate performance interference. CoCo will dynamically configure the CLOS resource allocation with Intel CAT/MBA and schedule containers to share the timeslices of each CLOS without violating SLOs in the runtime. This is done based on the profiled sensitivity characteristics (§3.2). Finally, the scheduler in CoCo adjusts to workload changes by adjusting parameters based on runtime monitoring.

# 3.1 Profiling Sensitivity

The objective of profiling is to understand the sensitivity of the target workload to the scarcity of the same type of resource [6, 12]. For example, with highest contention intensity in terms of last-level cache (LLC), the 99% tail

<sup>&</sup>lt;sup>1</sup>CoCo is open-sourced and available at: https://anonymous. 4open.science/r/a3f84af9-5901-4400-89dd-98b3ef15cc1d/.

latency is  $8 \times$  of the median latency in Memcached, while the 99% tail latency is  $28 \times$  of the median latency in Nginx. Intuitively, the scheduler should allocate larger time slices to Nginx workloads to be associated with the CLOS with more LLC ways, compared to Memcached workloads. One workload could have different sensitivities to different resource shortages as well [6, 12]. For Nginx, the performance degrades the same facing both memory bandwidth contention or LLC contention; but LLC shortage is more disastrous compared with memory bandwidth shortage for Memcached and MongoDB workloads. With this sensitivity profiling, the scheduler can optimize for both performance and resource utilization efficiency.

To quantify the subtle, diverse sensitivities discussed above, it requires a metric that can be measured simply and practically. CoCo uses a metric termed *slowdown*. Assume that *N* workloads are co-located on the same physical server and that the CPU equipped in the server provides the LLC with *L* ways, the maximum memory bandwidth of *B*, and the maximum MBA level of 100% (i.e., no throttling). The resource allocation state  $S_i$  of workload *i* ( $i \in [0, N - 1]$ ) is defined as ( $l_i, m_i$ ), where  $l_i$ and  $m_i$  denote the LLC ways and MBA levels allocated to the workload *i*. The slowdown of workload *i* with the resource allocation state  $S_i$  is defined in Eq. 1, where  $SL_{full}$  and  $SL_{S_i}$  denote the load which sustains the SLO when the workload is allocated full resources and the resources specified in state  $S_i$ , respectively.

$$slowdown_{S_i} = \frac{SL_{full}}{SL_{S_i}} \tag{1}$$

Given the same system state  $S_i$ , the higher the slowdown value is, the more sensitive that the workload *i* is when facing the LLC or memory bandwidth throttling. Consequently, a workload with a higher slowdown value should be allocated to a partition with larger capacity and longer time window, compared with other co-located workloads.

The workloads are profiled with a resource limiter starting from ( $l_i = L$ ,  $m_i = 100\%$ ) and reducing the allocated LLC and memory bandwidth partition step by step (step size is the minimum adjustment configuration defined by CAT/MBA on each machine). For each step, the slowdown values of the workload are calculated.

## 3.2 Dynamic Partitioning

Dynamic partitioning configures container-to-core and core-to-CLOS mappings to meet SLOs of all co-located workloads. The scheduling algorithm designed and implemented in CoCo is a multi-level queue-based weighted round-robin (MQ-WRR) where the design requirements are: (i) to give preference to workloads with high slowdown values, (ii) to take the difference of workload sensitivity to shared-resources into account, and (iii) to avoid starvation. The CLOS configurations in Intel CAT/MBA



Figure 1: An example of CoCo scheduling.

are modeled as queues. Each CLOS is associated with a subset of caches that do not overlapp with another CLOS. There is one CLOS reserved particularly for the scheduling process of CoCo and other background jobs (e.g., system jobs) running on the physical server that are not latency-critical. All other CLOSs are for scheduling of co-located LC workloads running in containers.

Figure 1 gives an example of CoCo scheduling using Intel CAT. Each CLOS is configured using capacity bitmasks which represent cache capacity and indicate the degree of isolation between classes. Once CAT is configured, the processor allows access to portions of the cache according to the established CLOS. We use CLOS1 for running background jobs that do not have critical SLOs, as well as CoCo's scheduling process. CLOS2-4 are configured to have 3, 6, and 9 bits respectively. Each CLOS has a wait queue consisting of containers that are going to be scheduled to the CLOS in the next time slice. Each CLOS also has a working set (which is usually singleton for minimizing interference; but it can also serve two containers that are sensitive to different types of sharedresources) consisting of the container that is running right now. CoCo is scheduling the containers in the weighted round-robin manner onto the multi-level queues. Among N workloads, the scheduling time slice on a CLOS (with state s) associated to workload *i* is set to be *weight<sub>i</sub>*, which is defined as:

$$weight_i = \frac{slowdown_{S_i}}{\sum_{k=0}^{N} slowdown_{S_k}}$$
(2)

Memory bandwidth partitioning using MBA is similar to cache partitioning. Instead of setting bit-masks, MBA allows directly indicating the percentages of allowed memory bandwidth (an integer between 0 and 100).

If no schedule can meet all SLOs, admission control will kick in to keep only affordable workloads.

## **3.3 Handling Conflicts**

Although Intel CAT and MBA provide trustworthy partitioning of LLC and memory bandwidth to each CLOS, there may be conflicts when configuring CAT and MBA at the same time. Since MBA uses a programmable rate controller between the cores and the interconnect, LLC and memory controller, bandwidth to LLC may also be reduced when reducing memory bandwidth using MBA. For instance, the effect of increasing the bit length of the capacity bit-mask for CLOS1 has a higher chance to be canceled out by setting a lower throttling value for CLOS1. Therefore, it is hard to throttle memory-bandwidth-intense workloads which also uses the off-core caches effectively.

To avoid partition conflicts between CAT and MBA, CoCo always increases or decreases memory bandwidth together with LLC capacity. Otherwise, throttling bandwidth-intensive workloads, which also use the offcore caches, will be compromised by increasing memory bandwidth but decreasing LLC capacity. As shown in §4.2, monotonically configuring CAT and MBA yields a 29% improvement of affordable client load.

Once a set of CLOSs are configured by setting the bitmasks, the hardware will get the hint on how to partition the cache space for each CLOS. After a change of CLOS configuration, the changed cache space ( $\Delta C$  in terms of cache ways) will be added to or removed from the CLOS. However, the cached pages in the cache space  $\Delta C$  will not be flushed, which limits the new owner of the CLOS (which  $\Delta C$  belongs to) from using the newly allocated cache space. Similarly, a change of CLOS-process association has the same problem. For instance, CLOS-A and CLOS-B own cache partition  $C_a$  and  $C_b$  respectively. After a configuration change, CLOS-A owns  $C_b$  and CLOS-B owns  $C_a$ . But cache hits from CLOS-A can still lead to access to  $C_a$ , which is no longer owned by CLOS-A and thus limits the usage of  $C_a$  by CLOS-B. If the access frequency from CLOS-A is high, the least-frequently-used cache replacement policy does not help with CLOS migration.

To resolve cache misses after CLOS migration, CoCo flushes and invalidates the cache in the original cache partition for each CLOS after each configuration change by paying up to 6.1% overhead of cache warmup (§4.2).

# 3.4 Discussion

CoCo is the first step in the direction towards time-sharing of CLOSs in hardware QoS support without violating SLOs, thus improving resource utilization on physical machines. There are still unexplored challenges that need to be addressed. First, just like statically allocating an LC workload to a CLOS, it cannot serve unlimited client load without SLO violations. We call the point where the client load is no longer affordable the *upper bound*. The application is usually scaled out to more machines when the client load is beyond the upper bound. By time-sharing, the upper bound for each LC workload may be reduced; but we believe the cost can be offset easily through ondemand autoscaling and load-balancing in the cloud or datacenter environments [19, 20, 24, 26, 29].

Second, sensitivity characterization in CoCo is workloads or application inputs dependent, and can not fully observe all system states. For instance, sensitivity can vary with non-modeled system states such as PCIe bandwidth contention or disk I/O contention. Essentially, sensitivity should be a distribution among the factors of workloads and system states, in the presence of hidden resources. To address that, reinforcement learning based approaches [2, 24] under partially observable environments provide possible directions without using painstakingly tuned heuristics.

#### 4 Preliminary Results

Our evaluation is conducted on an application-hosting server with an AMD EPYC 7302P processor with 16 cores and 126 GB of memory, which supports 4 CLOSs with 20-way CAT partitioning and 0-100% range of MBA throttling. We run co-located applications on this server. We use a client machine to create workloads by sending client requests to the application server. The client server has an Intel(R) Core(TM) i7-7700 processor with 8 CPUs and 16 GB memory.

We choose three representative LC applications, Nginx [18], Memcached [15], and MongoDB [17]. To drive the workloads, we use wrk2 [33], memtier [16], and YCSB [35]. We run each application in a separate Docker container on the application server with the workload generation benchmarks set up in the client server. No other background jobs are running on both machines.

Table 1: Impact of CAT/MBA on LC applications in terms of load retainment (normalized to full allocation).

CAT Config.	Fu	ll 9-bit N	Mask	6-bit Mas	k 3-1	bit Mask
Memcached Nginx MongoDB	1009 1009 1009	% 88.1 = % 75 = % 58.3 =	± 5% ± 4% ± 5%	$\begin{array}{c} 83.8 \pm 29 \\ 62 \pm 39 \\ 37.3 \pm 19 \end{array}$	No No No	$80 \pm 4\%$ $33 \pm 3\%$ $26 \pm 2\%$
MBA Config.	Full	80%	6	0%	40%	20%
Memcached Nginx MongoDB	100% 100% 100%	$\begin{array}{c} 91.4 \pm 6\% \\ 93 \pm 4\% \\ 82.5 \pm 5\% \end{array}$	$87.2 \pm 90.1 \pm 74 \pm$	4% 82 : 3% 87.3 : 3% 69.9 :	$^{\pm 5\%}_{\pm 2\%}$ $^{\pm 4\%}_{\pm 4\%}$	$78.4 \pm 5\% \\ 81.1 \pm 4\% \\ 64.2 \pm 4\%$

## 4.1 Sensitivity of Co-located Workloads

As discussed in §3.1, different applications have different sensitivities to resource contention. To cater to different sensitivities of different workloads, we need to understand how Intel CAT and MBA throttling will affect the performance. Based on the characterization, we can then compute the weights used in the MQ-WRR dynamic resource partitioning algorithm (recall §3.2).

Table 1 show the results. The max load is measured without associating any CAT mask (i.e., full-mask) or without adding any MBA throttling rate (i.e., 100% bandwidth) to the workload. We gradually increase the load of the client requests to a point at which the pre-defined



Figure 2: Affordable client load without SLO violations (normalized to no-interference, which is 100%).

SLO is violated within a 95% confidence interval. Then we choose 9-bit, 6-bit, and 3-bit masks as three examples to calculate the slowdown values for LLC, and choose 80%, 60%, 40%, and 20% throttling rates to calculate the slowdown values for MBA.

To do so, we pin each co-located workload to a set of cores (we assign no fewer cores than threads to avoid the CPU being the bottleneck) by changing the cpuset.cpus of the cgroup configuration for that container, and then associate the corresponding CAT and MBA CLOSs to the cores. After configuration, we gradually increase the load of client requests to a point at which the SLO of the application is violated and mark it as L. The ratio of that load L to the max load (i.e., with no throttling) is then the load retainment value shown in the table.

## 4.2 Effectiveness of CoCo

We show the effectiveness of CoCo by comparing it with (i) no partitioning and (ii) standard round-robin scheduling [30]. Since the physical server on which the co-located workloads sit is able to configure a max of 4 CLOSs and in total 20 LLC ways (20-bit mask), we divide the LLC ways into 4 CLOSs, each of which is allocated 2, 3, 6, 9 bits of LLC masks and 10%, 10%, 30%, 50% of memory bandwidth respectively. The co-located workloads are two Memcached instances, two Nginx instances, and two MongoDB instances. The reason why we did not compare with static partitioning is that there is no way for six workloads to statically share four CLOSs. To show the benefit of coordinating CAT and MBA together, we added the evaluation of CoCo with only CAT, only MBA, and conflicting CAT/MBA.

Figure 2 shows the results comparatively. CoCo improves the load retainment compared with no-partitioning by  $2.2-9.1 \times$ . We summarize several key results: (a) CoCo outperforms CAT-only or MBA-only dynamic resource partitioning by coordinating CAT and MBA together. (b) CoCo schedules the partition in CAT to be positively correlated with the partition in MBA (never reduces the

LLC partition when increasing the memory partition) and thus outperforms CoCo with conflicts. (c) CoCo outperforms standard round-robin scheduling by considering the slowdown value of each workload. Round-robin wastes resource partitions on unnecessary workloads and ignores workloads that are more sensitive. While achieving the improvement on load retainment, CoCo brings overhead to the end-to-end latency of 2.4–6.1% due to the partition context switch and cache flush during CLOS migration.

#### 5 Related Work

Using resource partitioning to alleviate contention of shared resources between co-located applications is well studied [6,12,22–24]. For example, Heracles [12] presents a feedback-based resource controller that enables an LC task to be co-located with any number of best-effort (BE) tasks without breaking the SLO of the LC task. However, it can support at most one LC task. PARTIES [6] and CLITE [23] both provide a QoS-aware dynamic resource manager which allows a number of LC applications and BE tasks to safely share one commodity server without violating SLOs. CoPart [22] dynamically analyzes the characteristics of the co-located applications and partitions the LLC and memory bandwidth in a coordinated manner to maximize the overall fairness of the applications. The number of LC applications that the above-mentioned approaches can support is also limited by the hardware features (i.e., the number of CLOSs in Intel CAT/MBA).

## 6 Concluding Remarks

CoCo is the first step in the direction towards guaranteeing SLOs for more LC applications beyond the hardware allocation capability by treating partitions as scarce resources and designing sharing policies.

The root of the problem is the scarcity of CLOSs supported by existing hardware QoS support. It is perhaps time to rethink hardware QoS support. According to our understanding, the main challenge for way partitioning to support more applications comes from the difficulty of scaling cache associativity to the number of cores, as physical constraints result in increased latency and energy consumption; therefore, simply increasing the number of CLOSs cannot solve the problem because the cache associativity would become the bottleneck. On the other hand, it is hard to partition below the way level [27, 34].

In summary, we believe that the discrepancy between the hardware QoS support and the scale of CPU cores and memory will continue to exist if not become worse. Software-based solutions like CoCo that exploit the CLOS shareability will play an important role in providing SLOs and improving hardware resource utilization.

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